Elements of Power Electronics PART III: Topologies and applications

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Chapter 6: Converter Circuits

Applications

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Chapter 6: Converter Circuits

- What is a topology? Why different topologies?
- The choice of a topology depends on the application:
 - 1. voltage/current range/direction,
 - 2. power direction,
 - 3. number of inputs/outputs,
 - 4. isolated vs. non-isolated,
 - 5. input and output current ripple,
 - 6. switches/transformer/inductor utilization,
 - 7. soft switching vs. hard switching,
 - compactness (see Google interest https://en.wikipedia.org/wiki/Little_Box_Challenge),
 - reliability (see European interest http://www.inrel-npower.eu/),
 - 10. EMC considerations...

3/121

 Today's optimum topologies are not tomorrow's better topologies because of semiconductors evolution (storageless example).

- Topology selection is an important decision.
- ELEC0055: Elements of Power Electronics PART III Fall 2024

Fundamentals of Power Electronics Second edition

Robert W. Erickson Dragan Maksimovic University of Colorado, Boulder

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1

Chapter 1: Introduction

Chapter 6. Converter Circuits

- 6.1. Circuit manipulations
- 6.2. A short list of converters
- 6.3. Transformer isolation
- 6.4. Converter evaluation and design
- 6.5. Summary of key points

- Where do the boost, buck-boost, and other converters originate?
- How can we obtain a converter having given desired properties?
- What converters are possible?
- How can we obtain transformer isolation in a converter?
- For a given application, which converter is best?

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1

Chapter 6: Converter circuits

6.1. Circuit Manipulations



Begin with buck converter: derived in Chapter 1 from first principles

- Switch changes dc component, low-pass filter removes switching harmonics
- Conversion ratio is M = D

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2

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- 34

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6.1.1. Inversion of source and load

Interchange power input and output ports of a converter Buck converter example $V_2 = DV_1$ Port 1 Port 2 L 1 γ V_2 Power flow Fundamentals of Power Electronics 3 Chapter 6: Converter circuits

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Inversion of source and load

Interchange power source and load:



4

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Realization of switches as in Chapter 4

- Reversal of power flow requires new realization of switches
- Transistor conducts when switch is in position 2
- Interchange of *D* and *D*'

$$V_1 = \frac{1}{D'} V_2$$



Inversion of buck converter yields boost converter

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5

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6.1.2. Cascade connection of converters



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6

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Example: buck cascaded by boost



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7

Buck cascaded by boost: simplification of internal filter



Noninverting buck-boost converter



Reversal of output voltage polarity



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10

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3

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Reduction of number of switches: inverting buck-boost



Discussion: cascade connections

 Properties of buck-boost converter follow from its derivation as buck cascaded by boost

Equivalent circuit model: buck 1:*D* transformer cascaded by boost *D* ':1 transformer

Pulsating input current of buck converter

Pulsating output current of boost converter

Other cascade connections are possible

Cuk converter: boost cascaded by buck

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Chapter 6: Converter circuits

6.1.3. Rotation of three-terminal cell



Three-terminal cell can be connected between source and load in three nontrivial distinct ways:

| a-A b-B c-C | buck converter |
|-------------|----------------------|
| a-C b-A c-B | boost converter |
| a-A b-C c-B | buck-boost converter |

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13

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3

Rotation of a dual three-terminal network



Three-terminal cell can be connected between source and load in three nontrivial distinct ways:

| a-A b-B c-C | buck converter with L-C input filter |
|-------------|--|
| a-C b-A c-B | boost converter with L-C output filter |
| a-A b-C c-B | Cuk converter |

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14

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6.1.4. Differential connection of load to obtain bipolar output voltage



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15

Chapter 6: Converter circuits

- 3

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Differential connection using two buck converters



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16

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- 3

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Conversion ratio M(D), differentially-connected buck converters



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17

Simplification of filter circuit, differentially-connected buck converters



Simplification of filter circuit, differentially-connected buck converters



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23/121

19

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- 3

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Differential connection to obtain 3ø inverter



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20

Chapter 6: Converter circuits ◆□▶ ◆御▶ ◆臣▶ ◆臣▶ ─ 臣

 $V_n = \frac{1}{3} (V_1 + V_2 + V_3)$

 $V_{an} = V_1 - V_n$

 $V_{hn} = V_2 - V_n$

 $V_{cn} = V_3 - V_n$

3ø differential connection of three buck converters



3ø differential connection of three buck converters

Re-draw for clarity: $dc \ source$ $3\phi ac \ load$ V_g (+) v_{gn} (+) v

"Voltage-source inverter" or buck-derived three-phase inverter

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22

The 3ø current-source inverter



· Exhibits a boost-type conversion characteristic

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23

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6.2. A short list of converters

An infinite number of converters are possible, which contain switches embedded in a network of inductors and capacitors

Two simple classes of converters are listed here:

- Single-input single-output converters containing a single inductor. The switching period is divided into two subintervals. This class contains eight converters.
- Single-input single-output converters containing two inductors. The switching period is divided into two subintervals. Several of the more interesting members of this class are listed.

Single-input single-output converters containing one inductor

- Use switches to connect inductor between source and load, in one manner during first subinterval and in another during second subinterval
- There are a limited number of ways to do this, so all possible combinations can be found
- After elimination of degenerate and redundant cases, eight converters are found:

dc-dc converters

Fundament

| | buck | boost | buck-boost | noninverting buck-boost | |
|--------------------|-------------|--------|----------------------------|-------------------------|------|
| dc-a | ac conve | erters | | | |
| bridge Watkins | | | Watkins-Johr | ison | |
| ac-o | dc conve | erters | | | |
| current-fed bridge | | e inve | inverse of Watkins-Johnson | | |
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circuits

Converters producing a unipolar output voltage



Converters producing a unipolar output voltage



Converters producing a bipolar output voltage suitable as dc-ac inverters



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28

Chapter 6: Converter circuits

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Converters producing a bipolar output voltage suitable as ac-dc rectifiers



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29

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Several members of the class of two-inductor converters



Several members of the class of two-inductor converters



6.3. Transformer isolation

Objectives:

- Isolation of input and output ground connections, to meet safety requirements
- Reduction of transformer size by incorporating high frequency isolation transformer inside converter
- Minimization of current and voltage stresses when a large step-up or step-down conversion ratio is needed —use transformer turns ratio
- Obtain multiple output voltages via multiple transformer secondary windings and multiple converter secondary circuits

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32

Chapter 6: Converter circuits
A simple transformer model



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33

Chapter 6: Converter circuits $\langle \Box \rangle \rangle \langle \Box \rangle \langle \Box \rangle \langle \Box \rangle \rangle \langle \Box \rangle \langle \Box$

The magnetizing inductance L_M

- Models magnetization of transformer core material
- Appears effectively in parallel with windings
- If all secondary windings are disconnected, then primary winding behaves as an inductor, equal to the magnetizing inductance
- At dc: magnetizing inductance tends to short-circuit. Transformers cannot pass dc voltages
- Transformer saturates when magnetizing current *i_M* is too large



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34

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Volt-second balance in L_M

The magnetizing inductance is a real inductor, obeying

$$v_1(t) = L_M \frac{di_M(t)}{dt}$$

integrate:

$$i_M(t) - i_M(0) = \frac{1}{L_M} \int_0^t v_1(\tau) d\tau$$

Magnetizing current is determined by integral of the applied winding voltage. The magnetizing current and the winding currents are independent quantities. Volt-second balance applies: in steady-state, $i_M(T_s) = i_M(0)$, and hence

$$0 = \frac{1}{T_s} \int_0^{T_s} v_1(t) dt$$

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transformer

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35

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Transformer reset

- "Transformer reset" is the mechanism by which magnetizing inductance volt-second balance is obtained
- The need to reset the transformer volt-seconds to zero by the end of each switching period adds considerable complexity to converters
- To understand operation of transformer-isolated converters:
 - replace transformer by equivalent circuit model containing magnetizing inductance
 - analyze converter as usual, treating magnetizing inductance as any other inductor
 - apply volt-second balance to all converter inductors, including magnetizing inductance

6.3.1. Full-bridge and half-bridge isolated buck converters

Full-bridge isolated buck converter



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37

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Full-bridge, with transformer equivalent circuit



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38

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Full-bridge: waveforms



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43/121

- During first switching period: transistors Q_1 and Q_4 conduct for time DT_s , applying voltseconds $V_{\sigma} DT_{s}$ to primary winding
- During next switching period: transistors Q_2 and Q_3 conduct for time DT_s , applying voltseconds $-V_{\sigma}DT_{s}$ to primary winding
- Transformer volt-second balance is obtained over two switching periods
- Effect of nonidealities?

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Effect of nonidealities on transformer volt-second balance

Volt-seconds applied to primary winding during first switching period:

 $(V_g - (Q_1 \text{ and } Q_4 \text{ forward voltage drops}))(Q_1 \text{ and } Q_4 \text{ conduction time})$

Volt-seconds applied to primary winding during next switching period:

 $-(V_g - (Q_2 \text{ and } Q_3 \text{ forward voltage drops}))(Q_2 \text{ and } Q_3 \text{ conduction time})$

These volt-seconds never add to exactly zero.

Net volt-seconds are applied to primary winding

Magnetizing current slowly increases in magnitude

Saturation can be prevented by placing a capacitor in series with primary, or by use of current programmed mode (Chapter 12)

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40

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Operation of secondary-side diodes



- During second (D') subinterval. both secondary-side diodes conduct
- Output filter inductor current divides approximately equally between diodes
- · Secondary amp-turns add to approximately zero
- · Essentially no net magnetization of transformer core by secondary winding currents

Chapter 6: Converter circuits 4 3

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Volt-second balance on output filter inductor



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42

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Half-bridge isolated buck converter



- Replace transistors Q₃ and Q₄ with large capacitors
- Voltage at capacitor centerpoint is 0.5Vg
- v_c(t) is reduced by a factor of two
- $M = 0.5 \ nD$

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43

Chapter 6: Converter circuits 4 E b

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6.3.2. Forward converter



- · Buck-derived transformer-isolated converter
- · Single-transistor and two-transistor versions
- · Maximum duty cycle is limited
- · Transformer is reset while transistor is off

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44

Chapter 6: Converter circuits

- 34

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Forward converter with transformer equivalent circuit



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45

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Forward converter: waveforms



- Magnetizing current, in conjunction with diode *D*₁, operates in discontinuous conduction mode
- Output filter inductor, in conjunction with diode D₃, may operate in either CCM or DCM

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46

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Subinterval 1: transistor conducts



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47

Chapter 6: Converter circuits

- 32

A D > A P > A B > A B >

Subinterval 2: transformer reset



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48

Chapter 6: Converter circuits

- 32

A D > A P > A B > A B >

Subinterval 3



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49

Chapter 6: Converter circuits

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Magnetizing inductance volt-second balance



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50

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Transformer reset

From magnetizing current volt-second balance:

$$\left\langle v_1 \right\rangle = D\left(V_g\right) + D_2\left(-V_g n_1/n_2\right) + D_3(0) = 0$$

Solve for D_2 :

$$D_2 = \frac{n_2}{n_1} D$$

 $\begin{array}{l} D_3 \text{ cannot be negative. But } D_3 = 1 - D - D_2. \text{ Hence} \\ D_3 = 1 - D - D_2 \ge 0 \\ D_3 = 1 - D \left(1 + \frac{n_2}{n_1}\right) \ge 0 \\ \text{Solve for } D \\ D \le \frac{1}{1 + \frac{n_2}{n_2}} \qquad \qquad \text{for } n_1 = n_2: \quad D \le \frac{1}{2} \end{array}$

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51

What happens when D > 0.5



Conversion ratio *M*(*D*)



Maximum duty cycle vs. transistor voltage stress

Maximum duty cycle limited to

$$D \leq \frac{1}{1 + \frac{n_2}{n_1}}$$

which can be increased by decreasing the turns ratio n_2/n_1 . But this increases the peak transistor voltage:

$$\label{eq:rescaled} \begin{split} \max\left(v_{\mathcal{Q}1}\right) &= V_g \bigg(1 + \frac{n_1}{n_2}\bigg) \\ \text{For } n_1 &= n_2 \\ D &\leq \frac{1}{2} \quad \text{ and } \quad \max(v_{\mathcal{Q}1}) = 2V_g \end{split}$$

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54

Chapter 6: Converter circuits

The two-transistor forward converter



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55

Chapter 6: Converter circuits

6.3.3. Push-pull isolated buck converter



 $V = nDV_{\rho}$ $0 \le D \le 1$

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56

Chapter 6: Converter circuits

- 3

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Waveforms: push-pull



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57

Chapter 6: Converter circuits

- · Used with low-voltage inputs
- Secondary-side circuit identical to full bridge
- As in full bridge, transformer volt-second balance is obtained over two switching periods
- Effect of nonidealities on transformer volt-second balance?
- Current programmed control can be used to mitigate transformer saturation problems. Duty cycle control not recommended.

6.3.4. Flyback converter



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58

Chapter 6: Converter circuits

- 2

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Derivation of flyback converter, cont.



The "flyback transformer"



- A two-winding inductor
- Symbol is same as transformer, but function differs significantly from ideal transformer
- Energy is stored in magnetizing inductance
- Magnetizing inductance is relatively small
- Current does not simultaneously flow in primary and secondary windings
- Instantaneous winding voltages follow turns ratio
- Instantaneous (and rms) winding currents do not follow turns ratio
- Model as (small) magnetizing inductance in parallel with ideal transformer

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60

Chapter 6: Converter circuits

Subinterval 1



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61

Chapter 6: Converter circuits

Subinterval 2



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62

Chapter 6: Converter circuits

CCM Flyback waveforms and solution



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67/121

Volt-second balance:

$$\langle v_L \rangle = D(V_g) + D'(-\frac{V}{n}) = 0$$

Conversion ratio is

$$M(D) = \frac{V}{V_g} = n \frac{D}{D'}$$

Charge balance:

$$\left\langle i_{C}\right\rangle = D\left(-\frac{V}{R}\right) + D'\left(\frac{I}{n} - \frac{V}{R}\right) = 0$$

Dc component of magnetizing current is

$$I = \frac{nV}{D'R}$$

Dc component of source current is

$$I_g = \left\langle i_g \right\rangle = D(I) + D'(0)$$

Chapter 6: Converter circuits ・ロト ・ 四ト ・ 日ト ・ 日下

Equivalent circuit model: CCM Flyback



Discussion: Flyback converter

- Widely used in low power and/or high voltage applications
- Low parts count
- Multiple outputs are easily obtained, with minimum additional parts
- Cross regulation is inferior to buck-derived isolated converters
- Often operated in discontinuous conduction mode
- DCM analysis: DCM buck-boost with turns ratio

Obtaining isolation in the Cuk converter



Isolated Cuk converter



Discussion

- Capacitors C_{1a} and C_{1b} ensure that no dc voltage is applied to transformer primary or secondary windings
- Transformer functions in conventional manner, with small magnetizing current and negligible energy storage within the magnetizing inductance

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76

Chapter 6: Converter circuits

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6.4. Converter evaluation and design

For a given application, which converter topology is best?

There is no ultimate converter, perfectly suited for all possible applications

Trade studies

- Rough designs of several converter topologies to meet the given specifications
- An unbiased quantitative comparison of worst-case transistor currents and voltages, transformer size, etc.

Comparison via switch stress, switch utilization, and semiconductor cost

Spreadsheet design

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Chapter 6: Converter circuits
6.4.1. Switch stress and switch utilization

- Largest single cost in a converter is usually the cost of the active semiconductor devices
- Conduction and switching losses associated with the active semiconductor devices often dominate the other sources of loss
- This suggests evaluating candidate converter approaches by comparing the voltage and current stresses imposed on the active semiconductor devices.
- Minimization of total switch stresses leads to reduced loss, and to minimization of the total silicon area required to realize the power devices of the converter.

Total active switch stress *S*

In a converter having k active semiconductor devices, the total active switch stress S is defined as

$$S = \sum_{j=1}^{k} V_j I_j$$

where

 V_i is the peak voltage applied to switch j,

 I_j is the rms current applied to switch *j* (peak current is also sometimes used).

In a good design, the total active switch stress is minimized.

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Chapter 6: Converter circuits

Active switch utilization U

It is desired to minimize the total active switch stress, while maximizing the output power P_{load} .

The active switch utilization U is defined as

$$U = \frac{P_{load}}{S}$$

The active switch utilization is the converter output power obtained per unit of active switch stress. It is a converter figure-of-merit, which measures how well a converter utilizes its semiconductor devices.

Active switch utilization is less than 1 in transformer-isolated converters, and is a quantity to be maximized.

Converters having low switch utilizations require extra active silicon area, and operate with relatively low efficiency.

Active switch utilization is a function of converter operating point.

| Fundamentals of Power Electronics | 80 | Chapter 6: Converter circuits |
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| | | |

Comparison of switch utilizations of some common converters

| Converter | U(D) | $\max U(D)$ | $\max U(D)$ occurs at $D =$ |
|---|----------------------------------|-------------------------------|-----------------------------|
| Buck | /D | 1 | 1 |
| Boost | $\frac{D'}{D}$ | œ | 0 |
| Buck-boost, flyback, nonisolated SEPIC, isolated SEPIC, nonisolated Cuk, isolated Cuk | D' / \overline{D} | $\frac{2}{3\sqrt{3}} = 0.385$ | $\frac{1}{3}$ |
| Forward, $n_1 = n_2$ | $\frac{1}{2}$ /D | $\frac{1}{2\sqrt{2}} = 0.353$ | $\frac{1}{2}$ |
| Other isolated buck-derived converters (full- bridge, half-bridge, push-pull) | $\frac{\overline{D}}{2\sqrt{2}}$ | $\frac{1}{2\sqrt{2}} = 0.353$ | 1 |
| Isolated boost-derived converters (full bridge, push-pull) | $\frac{D'}{2\sqrt{1+D}}$ | $\frac{1}{2}$ | 0 |

Table 6.1. Active switch utilizations of some common dc-dc converters, single operating point.

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84

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Switch utilization : Discussion

- Increasing the range of operating points leads to reduced switch utilization
- Buck converter

can operate with high switch utilization (U approaching 1) when D is close to 1

Boost converter

can operate with high switch utilization (U approaching ∞) when D is close to 1

- Transformer isolation leads to reduced switch utilization
- Buck-derived transformer-isolated converters

 $U \le 0.353$

should be designed to operate with \boldsymbol{D} as large as other considerations allow

transformer turns ratio can be chosen to optimize design

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Chapter 6: Converter circuits

Switch utilization: Discussion

Nonisolated and isolated versions of buck-boost, SEPIC, and Cuk converters

 $U \leq 0.385$

Single-operating-point optimum occurs at D = 1/3

Nonisolated converters have lower switch utilizations than buck or boost

Isolation can be obtained without penalizing switch utilization

Active semiconductor cost vs. switch utilization



(semiconductor device cost per rated kVA) = cost of device, divided by product of rated blocking voltage and rms current, in \$/kVA. Typical values are less than \$1/kVA

- (voltage derating factor) and (current derating factor) are required to obtain reliable operation. Typical derating factors are 0.5 - 0.75
- Typical cost of active semiconductor devices in an isolated dc-dc converter: \$1 \$10 per kW of output power.

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87

Chapter 6: Converter circuits

Summary of key points

- The boost converter can be viewed as an inverse buck converter, while the buck-boost and Cuk converters arise from cascade connections of buck and boost converters. The properties of these converters are consistent with their origins. Ac outputs can be obtained by differential connection of the load. An infinite number of converters are possible, and several are listed in this chapter.
- For understanding the operation of most converters containing transformers, the transformer can be modeled as a magnetizing inductance in parallel with an ideal transformer. The magnetizing inductance must obey all of the usual rules for inductors, including the principle of volt-second balance.

Summary of key points

- 3. The steady-state behavior of transformer-isolated converters may be understood by first replacing the transformer with the magnetizing-inductance-plus-ideal-transformer equivalent circuit. The techniques developed in the previous chapters can then be applied, including use of inductor volt-second balance and capacitor charge balance to find dc currents and voltages, use of equivalent circuits to model losses and efficiency, and analysis of the discontinuous conduction mode.
- 4. In the full-bridge, half-bridge, and push-pull isolated versions of the buck and/or boost converters, the transformer frequency is twice the output ripple frequency. The transformer is reset while it transfers energy: the applied voltage polarity alternates on successive switching periods.

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100

Chapter 6: Converter circuits

Summary of key points

- In the conventional forward converter, the transformer is reset while the transistor is off. The transformer magnetizing inductance operates in the discontinuous conduction mode, and the maximum duty cycle is limited.
- The flyback converter is based on the buck-boost converter. The flyback transformer is actually a two-winding inductor, which stores and transfers energy.
- 7. The transformer turns ratio is an extra degree-of-freedom which the designer can choose to optimize the converter design. Use of a computer spreadsheet is an effective way to determine how the choice of turns ratio affects the component voltage and current stresses.
- Total active switch stress, and active switch utilization, are two simplified figures-of-merit which can be used to compare the various converter circuits.

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101

Chapter 6: Converter circuits

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Isolation

- Uninteruptible power supplies (UPS)
- Motor drive
- Heating, Welding
- Wireless power transfer
- Energy generation (solar, wind)
- Active filtering, power factor correction (PFC)

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- Vehicles
- HVDC (1 GW and more)

Utility grid adapter

Excerpt of [1] (fig 10-2), typical wall adapter with isolation, including feedback for proper DC regulated voltage.



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Multiple outputs power supply

Excerpt of [1] (fig 10-3), multiple outputs isolated power supply with cross-regulated outputs.



Isolating feedback (solution 1)

Excerpt of [1] (fig 10-34a), PWM controller on the output side.



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Isolating feedback (solution 2)

Excerpt of [1] (fig 10-34b), PWM controller on the input side.



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Excerpt of [1] (fig 11-4), classical UPS for powering critical load.



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Uninterruptible power supply (optimized solution)

Excerpt of the CE+T web site, high efficiency UPS solution.



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Excerpt of [1] (fig 12-3), air conditioner that takes benefit of a converter (inverter) to control the temperature.



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Servo drive control and current limiting

Excerpt of [1] (fig 12-8a), speed control block diagram (method a).



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Excerpt of [1] (fig 12-8b), speed control block diagram (method b).



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Excerpt of [1] (fig 13-6), closed loop position/speed DC servo drive.



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Excerpt of [1] (fig 13-10), drive with four-quadrant operation.



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Induction motor drive

Excerpt of [1] (fig 14-19), PWM-VSI inverter.



Excerpt of [1] (fig 15-5), synchronous motor servo drive.



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Excerpt of [1] (fig 16-7), voltage source resonant induction heating.



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Excerpt of [1] (fig 16-9), switch-mode welder.



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Wireless power transfer

Excerpt of the Qi standard, basic system overview.



Excerpt of the Qi standard, example of power TX and RX devices.



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Solar inverter

Excerpt of [2], H5 topology from SMA (implemented in their commercial inverters).



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Excerpt of [3], example of wind energy converter implementing MPPT with optimal torque control of wind turbines.



Active filters

Excerpt of [1], utility grid parallel active filter.



Power factor corrector

Excerpt of [1], power factor correction problem.





Power factor corrector

Excerpt of [1], the typical solution.





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Power converters find more and more applications...

Maiden flight with a record-setting motor



2016-Jul-04

For the first time ever, a plane in the certification category CS23 flies with Permit-to-Fly purely electric. The plane is powered by a 260 kilowatt Siemens motor that weighs a mere 50 kilogramm – a record-setting power-to-weight ratio.

HVDC example: ALEGrO

- ▶ 1*GW* converter required (see flyer).
- https://www.elia.be/fr/infrastructure-et-projets/projetsinfrastructure/alegro



+/- 49 km en Belgique +/- 90 km au total 14 communes: Visé, Oupeye, Herstal, Liège, Blegny, Soumagne, Herve, Thimister-Clermont, Welkenraedt, Limbourg, Baelen, Eupen, Lontzen et Raeren

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Figure reproduced from the *elia* website.

HVDC principle

- HVDC can interconnect asynchronous systems.
- ► HVDC transmission can be controlled faster ⇒ AC system stability can be improved.



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Figure reproduced from the *elia* website.
HVDC vs HVAC



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Figure reproduced from [4].

HVDC converter solution: MMC

- The modular multilevel converter (MMC) is the most advanced power converter topology for HVDC.
- IGBT example: high power IGBT



Figure reproduced from the *elia* website.

MMC: half bridge principle

Excerpt of [4]:



- Cascaded connection cells (half or full-bridge)
- 2N cells in series to create the half bridge
- L are prevent excessive circulating currents

MMC: cell principle

Excerpt of [4]:



| <i>S</i> 1 | $\overline{S1}$ | I_x | V_x | С |
|------------|-----------------|-------|----------------|-------------|
| ON | OFF | > 0 | V _c | discharging |
| ON | OFF | < 0 | V _c | charging |
| OFF | ON | > 0 | 0 <i>V</i> | - |
| OFF | ON | < 0 | 0 <i>V</i> | - |

Table: Cell states

With a correct selection of the four states during a 50Hz sinewave, charge balance can be guaranteed over all the cells.

MMC: half bridge principle

Excerpt of [4]:



- Cell voltage: $V_c = \frac{V_d c}{N}$
- N cells have S1 = ON and
 N cells have S1 = OFF
- The number of cells n with S1 = ON in the low part of the bridge give the voltage generated at node a : V_a = nV_C
- There is however a degree of freedom when selecting cells state.



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Figure reproduced [4].

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Figure reproduced [4].

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Figure reproduced [4].

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- During the operation of the MMC, the output current flows though the cells capacitors, which charge and discharge the capacitors.
- An active capacitor charge balance method is required for the operation of the MMC.
- The voltage balancing algorithm uses measurements from the cells capacitor voltages and half bridge currents to select the next cell to be connected or bypassed.
- Capacitor charge balance is possible because there is a degree of freedom on the selection of the cells.

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