

Compilateurs

Pierre Geurts

E-mail : p.geurts@ulg.ac.be
URL : [http://www.montefiore.ulg.ac.be/
~geurts/compil.html](http://www.montefiore.ulg.ac.be/~geurts/compil.html)
Bureau : I 141 (Montefiore)
Téléphone : 04.366.48.15 — 04.366.99.64

Contact information

- Teacher: Pierre Geurts
 - ▶ `p.geurts@ulg.ac.be`, I141 Montefiore, 04/3664815
- Teaching assistant: Vincent Botta
 - ▶ `vincent.botta@ulg.ac.be`, CHU GIGA, 04/3669967
- Website:
 - ▶ Course:
`http://www.montefiore.ulg.ac.be/~geurts/compil.html`
 - ▶ Project:
`http://www.montefiore.ulg.ac.be/~botta/info0085-1`

Course organization

- Theoretical course
 - ▶ Wednesday, 14h-16h, R18, Institut Montefiore
 - ▶ About 6-7 lectures
 - ▶ Slides online on the course web page (available before each lecture)
 - ▶ Give you the basis to achieve the project
- Project
 - ▶ One (big) project
 - ▶ Implementation of a compiler (from scratch) for languages of your choice
 - ▶ (more on this after the introduction)
- Evaluation
 - ▶ Almost exclusively on the basis of the project
 - ▶ Written report, short presentation of your compiler (in front of the class), oral exam

References

■ Books:

- ▶ **Compilers: Principles, Techniques, and Tools (2nd edition), Aho, Lam, Sethi, Ullman, Prentice Hall, 2006**
<http://dragonbook.stanford.edu/>
- ▶ Modern compiler implementation in Java/C/ML, Andrew W. Appel, Cambridge University Press, 1998
<http://www.cs.princeton.edu/~appel/modern/>
- ▶ Engineering a compiler (2nd edition), Cooper and Torczon, Morgan Kaufmann, 2012.

■ On the Web:

- ▶ **Basics of compiler design, Torben Aegidius Mogensen, Self-published, 2010**
<http://www.diku.dk/hjemmesider/ansatte/torbenm/Basics/index.html>
- ▶ Compilation - Théorie des langages, Sophie Gire, Université de Brest
http://www.lisyc.univ-brest.fr/pages_perso/leparc/Etud/Master/Compil/Doc/CoursCompilation.pdf
- ▶ Stanford compilers course
<http://www.stanford.edu/class/cs143/>

Course outline

- Part 1: Introduction
- Part 2: Lexical analysis
- Part 3: Syntax analysis
- Part 4: Semantic analysis
- Part 5: Intermediate code generation
- Part 6: Code generation

Partie 1

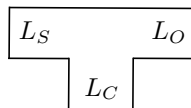
Introduction

Outline

1. What is a compiler
2. Compiler structure
3. Course project

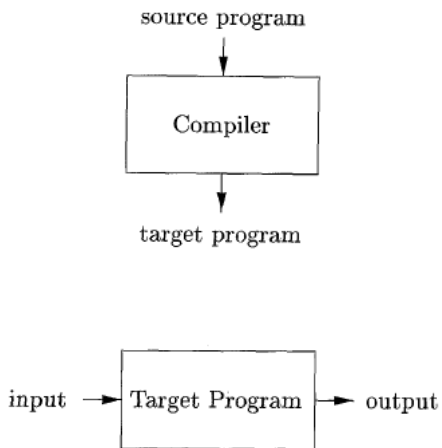
Compilers

- A compiler is a program (written in a language L_C) that:
 - ▶ reads another program written in a given source language L_S
 - ▶ and translates (compiles) it into an equivalent program written in a second (target) language L_O .

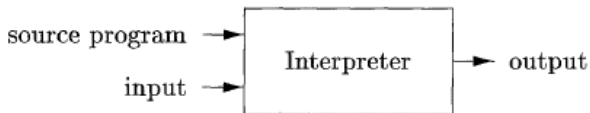


- The compiler also returns all errors contained in the source program
- Examples of combination:
 - ▶ $L_C=C$, $L_S=C$, $L_O=Assembler$ (gcc)
 - ▶ $L_C=C$, $L_S=java$, $L_O=C$
 - ▶ $L_C=java$, $L_S=L\text{A}\text{T}\text{E}\text{X}$, $L_O=HTML$
 - ▶ ...
- Bootstrapping: $L_C = L_S$

Compiler

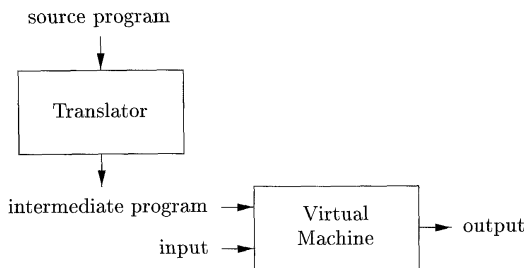


Interpreter



- An interpreter is a program that:
 - ▶ executes directly the operations specified by the source program on data provided by the user
- Usually slower at mapping inputs to outputs than compiled code (but gives better error diagnostics)

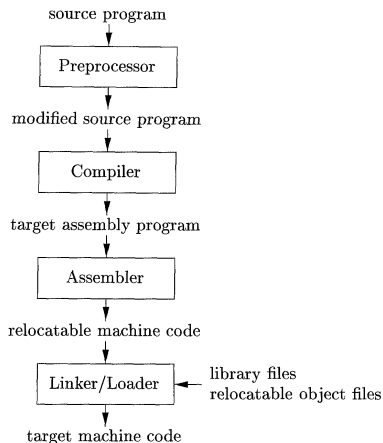
Hybrid solution



- Hybrid solutions are possible
- Example: Java combines compilation and interpretation
 - ▶ Java source program is compiled into an intermediate form called *bytecodes*
 - ▶ Bytecodes are then interpreted by a java virtual machine (or compiled into machine language by *just-in-time* compilers).
 - ▶ Main advantage is portability

A broader picture

- Preprocessor: include files, macros... (sort of small compilers).
- Assembler: generate machine code from assembly program.
- Linker: relocates relative addresses and resolves external references.
- Loader: loads the executable file in memory for execution.



Why study compilers?

- There is small chance that you will ever write a full compiler in your professional carrier.
- Then why study compilers?
 - ▶ To improve your culture in computer science (not a very good reason)
 - ▶ To get a better intuition about high-level languages and therefore become a better coder
 - ▶ Compilation is not restricted to the translation of computer programs into assembly code
 - ▶ Translation between two high-level languages (Java to C++, Lisp to C, Python to C, etc.)
 - ▶ Translation between two arbitrary languages, not necessarily programming ones (word to html, pdf to ps, etc.), aka source-to-source compilers or transcompilers

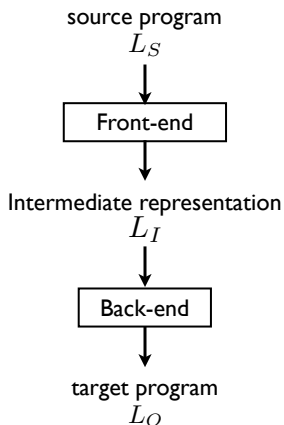
Why study compilers?

- ▶ The techniques behind compilers are useful for other purposes as well
 - ▶ Data structures, graph algorithms, parsing techniques, language theory...
- ▶ There is a good chance that a computer scientist will need to write a compiler or interpreter for a domain-specific language
 - ▶ Example: database query languages, text-formatting language, scene description language for ray-tracers, search engine, sed/awk...
- ▶ Very nice application of concepts learned in other courses
 - ▶ Data structures and algorithms, introduction to computability, computation structures...

General structure of a compiler

- Except in very rare cases, translation can not be done word by word
- Compiler are (now) very structured programs
- Typical structure of a compiler in two stages:
 - ▶ Front-end/analysis:
 - ▶ Breaks the source program into constituent pieces
 - ▶ Detect syntactic and semantic errors
 - ▶ Produce an intermediate representation of the language
 - ▶ Store in a symbol table informations about procedures and variables of the source program
 - ▶ Back-end/synthesis:
 - ▶ Construct the target program from the intermediate representation and the symbol table
- ▶ Typically, the front end is independent of the target language, while the back end is independent of the source language
- ▶ One can have a middle part that optimizes the intermediate representation (and is thus independent of both the source and target languages)

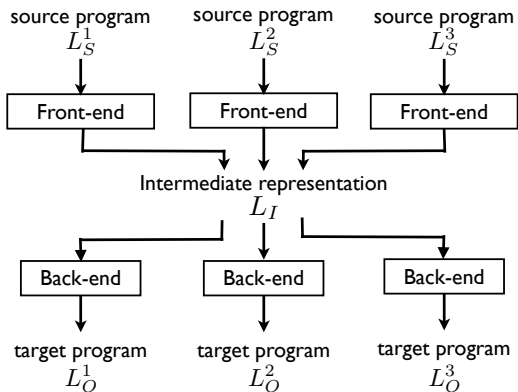
General structure of a compiler



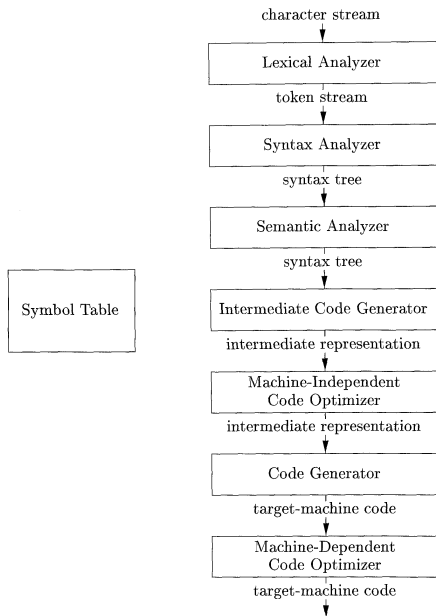
Intermediate representation

The intermediate representation:

- Ensures portability (it's easy to change the source or the target language by adapting the front-end or back-end).
- Should be at the same time easy to produce from the source language and easy to translate into the target language



Detailed structure of a compiler



Lexical analysis or scanning

Input: Character stream \Rightarrow **Output:** token streams

- The lexical analyzer groups the characters into meaningful sequences called **lexemes**.
 - ▶ Example: “position = initial + rate * 60;” is broken into the lexemes position, =, initial, +, rate, *, 60, and ;.
 - ▶ (Non-significant blanks and comments are removed during scanning)
- For each lexeme, the lexical analyzer produces as output a **token** of the form: $\langle \text{token-name}, \text{attribute-value} \rangle$
 - ▶ The produced tokens for “position = initial + rate * 60” are as follows

$\langle id, 1 \rangle, \langle = \rangle, \langle id, 2 \rangle, \langle + \rangle, \langle id, 3 \rangle, \langle * \rangle, \langle 60 \rangle$

with the symbol table:

1	position	...
2	initial	...
3	rate	...

Lexical analysis or scanning

In practice:

- Each token is defined by a regular expression

- ▶ Example:

Letter = $A - Z | a - z$

Digit = $0 - 9$

Identifier = $letter(letter \cup Digit)^*$

- Lexical analysis is implemented by

- ▶ building a non deterministic finite automata from all tokens regular expressions
- ▶ eliminating non determinism
- ▶ Simplifying it

- There exist automatic tools to do that

- ▶ Examples: lex, flex...

Lexical analysis or scanning

position = initial + rate * 60



Lexical Analyzer

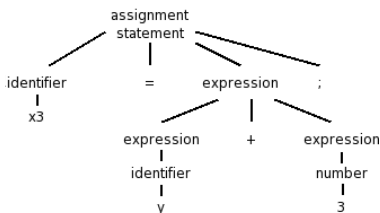


`<id,1> <=> <id,2> <+> <id,3> <*> <60>`

Syntax analysis or parsing

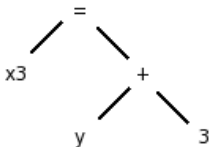
Input: token stream \Rightarrow **Output:** syntax tree

- Parsing groups tokens into grammatical phrases
- The result is represented in a **parse tree**, ie. a tree-like representation of the grammatical structure of the token stream.
- Example:
 - ▶ Grammar for assignment statement:
asst-stmt \rightarrow id = exp ;
exp \rightarrow number | id | expr + expr
 - ▶ Resulting parse tree:



Syntax analysis or parsing

- The parse tree is often simplified into a (abstract) **syntax tree**:



- This tree is used as a basis structure for all subsequent phases
- On parsing algorithms:
 - ▶ Languages are defined by **context-free** grammars
 - ▶ Parse and syntax trees are constructed by building automatically a (kind of) **pushdown automaton** from the grammar
 - ▶ Typically, these algorithms only work for a (large) subclass of context-free grammars

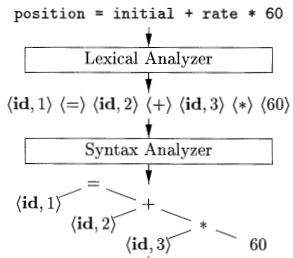
Lexical versus syntax analysis

- The division between scanning and parsing is somewhat arbitrary.
- Regular expressions could be represented by context-free grammars
- Mathematical expression grammar:

	EXPRESSION	→	EXPRESSION OP2 EXPRESSION
Syntax	EXPRESSION	→	NUMBER
	EXPRESSION	→	(EXPRESSION)
<hr/>			
	OP2	→	+ - * /
Lexical	NUMBER	→	DIGIT DIGIT NUMBER
	DIGIT	→	0 1 2 3 4 5 6 7 8 9

- The main goal of lexical analysis is to simplify the syntax analysis (and the syntax tree).

Syntax analysis or parsing



Semantic analysis

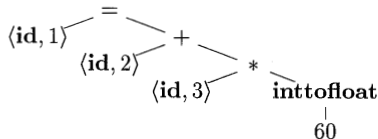
Input: syntax tree \Rightarrow **Output:** (augmented) syntax tree

- Context-free grammar can not represent all language constraints, e.g. non local/context-dependent relations.
- Semantic/contextual analysis checks the source program for semantic consistency with the language definition.
 - ▶ A variable can not be used without having been defined
 - ▶ The same variable can not be defined twice
 - ▶ The number of arguments of a function should match its definition
 - ▶ One can not multiply a number and a string
 - ▶ ...

(none of these constraints can be represented in a context-free grammar)

Semantic analysis

- Semantic analysis also carries out type checking:
 - ▶ Each operator should have matching operands
 - ▶ In some cases, type conversions (**coercions**) might be possible (e.g., for numbers)
- Example: `position = initial + rate * 60`
If the variables `position`, `initial`, and `rate` are defined as floating-point variables and `60` was read as an integer, it may be converted into a floating-point number.



Semantic analysis

position = initial + rate * 60

Lexical Analyzer

$\langle \text{id}, 1 \rangle \langle = \rangle \langle \text{id}, 2 \rangle \langle + \rangle \langle \text{id}, 3 \rangle \langle * \rangle \langle 60 \rangle$

Syntax Analyzer

$\langle \text{id}, 1 \rangle = \langle \text{id}, 2 \rangle + \langle \text{id}, 3 \rangle * 60$

Semantic Analyzer

$\langle \text{id}, 1 \rangle = \langle \text{id}, 2 \rangle + \langle \text{id}, 3 \rangle * \text{inttofloat}(60)$

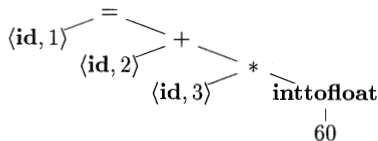
Intermediate code generation

Input: syntax tree \Rightarrow **Output:** Intermediate representation

- A compiler typically uses one or more intermediate representations
 - ▶ Syntax trees are a form of intermediate representation used for syntax and semantic analysis
- After syntax and semantic analysis, many compilers generate a low-level or machine-like intermediate representation
- Two important properties of this intermediate representation:
 - ▶ Easy to produce
 - ▶ Easy to translate into the target machine code

Intermediate code generation

- Example: Three-address code with instructions of the form $x = y \text{ op } z$.
 - ▶ Assembly-like instructions with three operands (at most) per instruction
 - ▶ Assume an unlimited number of registers
- Translation of the syntax tree



```
t1 = inttofloat(60)
t2 = id3 * t1
t3 = id2 + t2
id1 = t3
```

Intermediate code generation

```
position = initial + rate * 60
```

Lexical Analyzer

```
<id, 1> <=> <id, 2> <+> <id, 3> <*> <60>
```

Syntax Analyzer

```
      =
     / \
<id, 1> +
     / \
    <id, 2> *
         / \
        <id, 3> 60
```

Semantic Analyzer

```
      =
     / \
<id, 1> +
     / \
    <id, 2> *
         / \
        <id, 3> inttofloat
                |
                60
```

Intermediate Code Generator

```
t1 = inttofloat(60)
t2 = id3 * t1
t3 = id2 + t2
id1 = t3
```

Intermediate code optimization

Input: Intermediate representation \Rightarrow **Output:** (better) intermediate representation

- Goal: improve the intermediate code (to get better target code at the end)
- Machine-independent optimization (versus machine-dependent optimization of the final code)
- Different criteria: efficiency, code simplicity, power consumption...

■ Example:

```
t1 = inttofloat(60)
```

```
t2 = id3 * t1
```

```
t3 = id2 + t2
```

```
id1 = t3
```

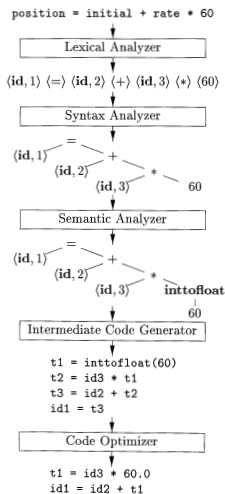
\Rightarrow

```
t1 = id3 * 60.0
```

```
id1 = id2 + t1
```

- Optimization is complex and could be very time consuming
- Very important step in modern compilers

Intermediate code optimization



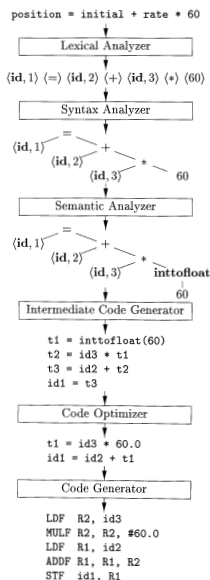
Code generation

Input: Intermediate representation \Rightarrow **Output:** target machine code

- From the intermediate code to real assembly code for the target machine
- Needs to take into account specificities of the target machine, eg., number of registers, operators in instruction, memory management.
- One crucial aspect is register allocation
- For our example:

```
t1 = id3 * 60.0
id1 = id2 + t1
 $\Rightarrow$ 
LDF R2, id3
MULF R2, R2, #60.0
LDF R1, id2
ADDF R1, R1, R2
STF id1,R1
```

Final code generation



Symbol table

1	position	...
2	initial	...
3	rate	...

- Records the variables names used in the source program
- Collects information about each symbol:
 - ▶ Type information
 - ▶ Storage location (of the variable in the compiled program)
 - ▶ Scope
 - ▶ For function symbol: number and types of arguments and the type returned
- Needs to allow quick retrieval and storage of a symbol and its attached information in the table
- Implementation by a dictionary structure (binary search tree, hash-table,...).

Error handling

- Each phase may produce errors.
- A good compiler should report them and provide as much information as possible to the user.
 - ▶ Not only “syntax error”.
- Ideally, the compiler should not stop after the first error but should continue and detect several errors at once (to ease debugging).

Phases and Passes

- The description of the different phases makes them look sequential
- In practice, one can combine several phases into one **pass** (i.e., one complete reading of an input file).
- For example:
 - ▶ One pass through the initial code for lexical analysis, syntax analysis, semantic analysis, and intermediate code generation (front-end).
 - ▶ One or several passes through the intermediate representation for code optimization (optional)
 - ▶ One pass through the intermediate representation for the machine code generation (back-end)

Compiler-construction tools

- First compilers were written from scratch, and considered as very difficult programs to write.
 - ▶ The first fortran compiler required 18 man-years of work
- There exist now several theoretical tools and softwares to automate several phases of the compiler.
 - ▶ Lexical analysis: regular expressions and finite state automata (Softwares: (f)lex)
 - ▶ Syntax analysis: grammars and pushdown automata (Softwares: bison/yacc)
 - ▶ Semantic analysis and intermediate code generation: syntax directed translation
 - ▶ Code optimization: data flow analysis

This course

- Although the back-end is more and more important in modern compilers, we will insist more on the front-end and general principles
 - ▶ source-to-source or transcompilers
- Tentative outline:
 - ▶ Lexical analysis
 - ▶ Syntax analysis
 - ▶ Semantic analysis
 - ▶ Intermediate code generation (syntax directed translation)
 - ▶ Some notions about code generation

Compiler project

- Implement a compiler from scratch
- By group of 1, 2, or 3 students
- The choice of source and target languages is free
- Implementation language L_c can be chosen among c, c++, java, python, scheme, and lisp.

Compiler project: languages L_s and L_o

- They should not be too simple, nor too complex.
Two bad choices:
 - ▶ French \rightarrow Morse code (too simple)
 - ▶ Python \rightarrow RISC assembly code (too complex)
- Languages need not to be programming languages
- For L_s , you can consider a reasonable subset of an otherwise too complex language
- Examples from previous years:
 - ▶ UML \rightarrow \LaTeX
 - ▶ Scheme \rightarrow Java
 - ▶ Lylipond \rightarrow Java
 - ▶ Logo \rightarrow Java/Swing
 - ▶ Toki Pona (google it) \rightarrow français
 - ▶ ...
- Choose according to your taste and what you want to learn from this course !

Course project

- Suggested methodology:
 - ▶ Write (and potentially simplify) the grammar of the source language
 - ▶ Design or automatic generation (LEX,...) of the scanner
 - ▶ Parsing:
 - ▶ Construction of the shift-reduce table
 - ▶ Implementation of the parser
 - ▶ Write the translation rules. Syntax-directed translation should be enough in most cases.
- Except for scanning, the use of existing library and data structures is forbidden.
- **Efficiency** and **correctness** of the compiler, as well as **clarity** of the **code** and **report** are the main evaluation criteria.

Deadlines

(subject to changes)

- Group formation and first idea for the project: 26/02/2012
- Complete project proposition and grammar of the source language: 4/03/2012
- Approval of the project: 11/03/2012
- Final report: 2/05/2012
- Project presentation and demonstration: 9/05/2012
- Oral exams: during the June session

- Send all emails to both `vincent.botta@ulg.ac.be` and `p.geurts@ulg.ac.be` (with “[info0085]” in the subject)
- All information about the project:
<http://www.montefiore.ulg.ac.be/~botta/info0085-1>